

10/15/99
J6674 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No. 1400.4100202 Total Pages 28
First Inventor or Application Identifier Bylsma, et al
Title METHOD AND APPARATUS FOR DATA
DRIVEN NETWORK MANAGEMENT
Express Mail Label No. EL454270205US

10/15/99
J6675 U.S. PTO
09/418647

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application
contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Specification Total Pages 17
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawings (35 USC 113) Total Sheets 2
4. Oath or Declaration Total Pages 2
 - a. Newly executed (original or copy)
 - b. Copy from a prior application
(37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. Microfiche Computer Program (Appendix)

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
 Continuation Divisional Continuation-in-part (CIP) of prior application No:
Prior Application Information: Examiner Group / Art Unit:

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

or, Correspondence Address Below

Markison & Reckamp, P.C.
175 West Jackson Boulevard - Suite 1015
Chicago, Illinois 60604

Telephone: 312-939-9800 Facsimile: 312-939-9828

Name (Print/Type)	Paul M. Anderson	REGISTRATION NUMBER	39,896
Signature		Date	10-14-99

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Trevor K. Bylsma, et al

Examiner:

Serial No:

Art Group:

Filing Date:

Docket No: 1400.4100202

Title: METHOD AND APPARATUS FOR DATA DRIVEN NETWORK
MANAGEMENT

To the Honorable Commissioner
of Patents and Trademarks
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

Ex Reg. No. **EL454270205US**

Date of Deposit: 10-15-99

Name of Depositor: Kris Herzog
(print or type)

Signature: Kris Herzog

I hereby certify that this paper and the items identified below are being deposited with the U.S. Postal Service "Express Mail Post Office to Addresses" service under 37 C.F.R. Section 1.10 on the 'Date of Deposit', indicated above, and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Items accompanying this Certificate of Express Mailing:

Filing papers for a new patent application or PCT application entitled METHOD AND APPARATUS FOR DATA DRIVEN NETWORK MANAGEMENT invented by Bylsma, et al and having a docket no. of 1400.4100202, such filing papers include:

- A specification and drawings for a new patent application;
- Transmittal cover letter;
- Assignment agreement;
- Assignment Cover Sheet;
- Combined Declaration and Power of Attorney;
- Statement of Small Entity Status;
- Information Disclosure Statement;
- Return upon receipt post card;

Filing of a correspondence in an interference which an examiner-in-chief ordered to be filed by hand or "Express Mail";

Filing of an agreement between parties to an interference under 35 U.S.C. 135 (c);

Filing of correspondence in an international application before the U.S. Receiving Office, the U.S. International Searching Authority, or the U.S. International Preliminary Examining Authority;

Filing of a copy of the international application and the basic national fee necessary to enter the national stage, as specified in 37 C.F.R. Section 1.494 (b) or 37 C.F.R. Section 1.495(b).

PATENT APPLICATION
DOCKET NO. 1400.4100202

In the United States Patent and Trademark Office

FILING OF A UNITED STATES PATENT APPLICATION

Title:

**METHOD AND APPARATUS DATA DRIVEN
NETWORK MANAGEMENT**

Inventors:

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Express Mail Label No. EL454270205US

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Trademarks, Washington, D.C. 20231.
Name of Depositor: Kris Herzog
(print or type)

Signature: Kris Herzog

FEE TRANSMITTAL

Note: Effective October 1, 1997.
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **974.00**

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Bylsma, et al
Group Art Unit	
Examiner Name	
Attorney Docket Number	1400.4100202

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	
Deposit Account Name	

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance

2. Payment Enclosed:
 Check Money Order Other

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	760	201	380
Utility filing fee		760.00	
106	310	206	155
Design filing fee			
107	480	207	240
Plant filing fee			
108	760	208	380
Reissue filing fee			
114	150	214	75
Provisional filing fee			

SUBTOTAL (1) (\$) **760.00**

2. CLAIMS

Claims	Extra	Fee from below	Fee Paid
Total 21	(-20 =) 1	18	18.00
Indep. 5	(- 3 =) 2	78	156.00
Multiple Dep.			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203
102	78	202
104	260	204
109	78	209
110	18	210
Claims in excess of 20	9	Independent claims in excess of 3
Multiple dependent claim		
Reissue independent claims over original patent		
Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2) (\$) **174.00**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

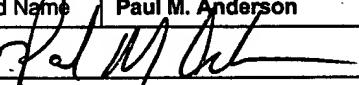
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65
Surcharge - late filing fee or oath			
127	50	227	25
Surcharge - late provisional filing fee or cover sheet			
139	130	139	130
Non-English specification			
147	2,520	147	2,520
For filling a request for reexamination			
112	920*	112	920*
Requesting publication of SIR prior to Examiner action			
113	1,840*	113	1,840*
Requesting publication of SIR after Examiner action			
115	110	215	55
Extension for reply within first month			
116	380	216	180
Extension for reply within second month			
117	870	217	435
Extension for reply within third month			
118	1,360	218	680
Extension for reply within fourth month			
128	1,850	228	925
Extension for reply within fifth month			
119	300	219	150
Notice of Appeal			
120	300	220	150
Filing a brief in support of an appeal			
121	260	221	130
Request for oral hearing			
138	1,510	138	1,510
Petition to institute a public use proceeding			
140	110	240	55
Petition to revive - unavoidable			
141	1,210	241	605
Petition to revive - unintentional			
142	1,210	242	605
Utility issue fee (or reissue)			
143	430	243	215
Design issue fee			
144	580	244	290
Plant issue fee			
122	130	122	130
Petitions to the Commissioner			
123	50	123	50
Petitions related to provisional applications			
126	240	126	240
Submission of Information Disclosure Stmt			
581	40	581	40
Recording each patent assignment per property (times number of properties)			40.00
146	760	246	380
Filing a submission after final rejection (37 CFR 1.129(a))			
149	760	249	380
For each additional invention to be examined (37 CFR 1.129(b))			
Other fee (specify)			
Other fee (specify)			

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **40.00**

SUBMITTED BY

Typed or Printed Name **Paul M. Anderson**

Signature 

<i>Complete (if applicable)</i>	
Reg. Number	39,896
Deposit Account User ID	